



7-14-04

JRW AF
3627

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(MBHB Case No. 99,853)

In re Application of:)
Kaminsky et al.)
Serial No.: 09/475,534) Art Unit: 3627
Filed: December 30, 1999) Examiner: Rudy, Andrew J.
For: Automated Trading Exchange System)
Having Integrated Quote Risk Monitoring)
and Integrated Quote Modification Services)

NOTICE OF APPEAL

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants hereby appeal to the Board of Appeals from the Final Office Action dated April 13, 2004, of the primary Examiner finally rejecting claims 9-28.

Attached is a check in the amount of \$330.00 for the Notice of Appeal fee required by 37 C.F.R. 1.17(b). Please charge any extra fees, or credit any overcharges to Deposit Account no. 13-2490.

Respectfully submitted,

Robert J. Irvine III
Reg. No. 41,865

Dated: July 13, 2004

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TRANSMITTAL LETTER

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In regard to the above identified application,

1. We are transmitting herewith the attached:
 - a) Notice of Appeal;
 - b) Check in the amount of \$330.00; and
 - c) Return Receipt Postcard.
2. With respect to additional fees:
 - a) Enclosed is a check in the amount of \$330.00 for the Notice of Appeal Fee.
 - b) Please charge any underpayment or credit any overpayment to our Deposit Account, No. 13-2490.
3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.10: The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as "Express Mail Post Office to Addressee," addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 13, 2004 under Express Mail Certificate No. EV334707895US.

Respectfully submitted,

Robert J. Irvine III

Reg. No. 41,865